

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2014/15 AUDIT PLAN AS AT 31/12/14

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

Leader

'A stronger city'

External Funding & Grants	5	1.1	Will not be undertaken due to VR					
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Enabling Services

'A well run Council'

'Maintain our property assets of the city'

Main Accounting 2014-15	25	17.2						
- Pt 1 Budget setting			Complete	↔	Good	0	0	0
- Pt 2 System management			To start Q4					
Income Management/Sundry Debt	35	12.6	To start Q4					
Procurement	30	3.1	In progress					
Creditors	50	42.2						
Quarter 1			Complete	↑	Good	0	5	3
Quarter 2			Complete	↔	Good	0	2	5
Treasury Management	5	5.4	Complete	↔	Good	0	2	4
People Management	35	43.1	Complete	No previous report	Some improvement required	0	8	11
Payroll			In progress					
ICT – Shared service	30	13.6	No report due					
Business Continuity	15	0.5	Will not be undertaken due to VR					
Risk Management	10	8.4	Draft Report					
Contracts	5	0.2	Will not be undertaken due to VR					
Counter Fraud/Anti-Fraud	15	23.3	Completed	N/A	N/A Self assessment	-	-	-
Corporate governance	15	4.2	Ongoing					
Information Governance	10	8.8	No report due					
Equalities & Diversity	10	0	To start Q4					
Safeguarding	15	16.8	Completed	No previous report	Some improvement required	0	8	2
Partnerships	5	0.3	In progress					

External Audit	5	0.7	No report due					
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Customer Access

'Help me with my housing and financial problem'

Housing Benefit Subsidy	50	20.3	14/15 To start Q4					
Council Tax	30	23.6	In progress					
NDR	12	11.7	Complete	↓	Some improvement required	2	5	4
One View of Debt (OVD)	25	9.0	In progress					
Housing - Tenants	25	34.3	Draft report					

Housing

'Provide suitable housing'

'Be a good landlord'

Housing - Property	15	1.4	To start Q4					
Private Sector Housing	10	0	To start Q4					

Environment, Health & Wellbeing

'Keep place looking good'

'Keep me/my environment safe and healthy'

Health & Safety	10	13.5	No report due					
Licensing	5	7.6	Completed	No previous report	Some improvement required	1	4	5

Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Culture/Events (Rugby World Cup)	2	0	Ongoing					
Museums	11	16.6	Completed	No previous report	Some improvement required	0	5	10

City Development

'Deliver good development'

Planning	5	0.5	To start Q4					
New Homes Bonus	8	8.6	Completed	No previous report	Excellent	0	0	0
CIL/Section 106	8	0	To start Q4					

Public Realm

'Keep place looking good'

Car Parks	10	0.2	To start Q4					
CCTV	5	5.6	Completed	No	Good	0	4	2

				previous report				
Homecall	5	5.4	Completed	No previous report	Good	0	3	1

Contingency (special/frauds) including unplanned work	40	11.0
Follow-ups	10	9.7
PSIAS Peer Review	2	2.1
Total	597	384.4

Note: 26 days lost from plan due to voluntary redundancy of part time auditor (due back from maternity leave 31 December 2014)